



## CHARACTERIZATION OF THE STRUCTURED PSEUDOSPECTRUM IN NON-ARCHIMEDEAN BANACH SPACES

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ABSTRACT. In this paper, we demonstrate some results on the pseudospectrum of linear operator pencils on non-Archimedean Banach spaces. In particular, we give a relationship between the Fredholm spectrum of a bounded operator pencil  $(A, B)$  and the Fredholm spectrum of the pencil  $(A^{-1}, B^{-1})$ . Also, we characterize the essential spectrum of operator pencils on non-Archimedean Banach spaces. Furthermore, we introduce and study the structured pseudospectrum of linear operators on non-Archimedean Banach spaces. We prove that the structured pseudospectra associated with various  $\varepsilon$  are nested sets, and the intersection of all the structured pseudospectra is the spectrum. We characterize the structured pseudospectrum of bounded linear operators on non-Archimedean Banach spaces. Finally, we characterize the structured essential pseudospectrum of bounded linear operator pencils on non-Archimedean Banach spaces and we give an illustrative example.

### 1. Introduction

In the classical setting, spectral theory has witnessed an explosive development by many researchers who have presented a survey of results concerning various types of essential spectrum and pseudospectrum [7, 15, 19]. Recently, Davies [7] introduced the concept of structured pseudospectrum of linear operators on a complex Banach space. Moreover, Abdmouleh, Ammar, and Jeribi [1] gave a characterization of the  $S$ -essential spectrum and defined the  $S$ -Riesz projection. On the other hand, they investigated the  $S$ -Browder resolvent and studied the  $S$ -essential spectrum of the sum of two bounded linear operators acting on a complex Banach space.

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The non-Archimedean Banach spaces were studied by Monna [16] which played a central role in non-Archimedean functional analysis. There are many differences between non-Archimedean Banach spaces and classical cases, see [3, 6, 14, 16, 17]. One of the main purposes of non-Archimedean Banach spaces is to study the non-Archimedean operator theory and spectral theory.

In non-Archimedean operator theory, Ammar, Bouchekoua and Jeribi [2] introduced and studied the pseudospectrum and the essential pseudospectrum of linear operators on a non-Archimedean Banach space and the non-Archimedean Hilbert space  $E_\omega$ , respectively. In particular, they characterized these pseudospectrum. Furthermore, inspired by Diagana and Ramaroson [6], they established a relationship between the essential pseudospectrum of a closed linear operator and the essential pseudospectrum of this closed linear operator perturbed by completely continuous operators on the non-Archimedean Hilbert space  $E_\omega$ . Moreover, Ettayb [10] introduced and studied the bounded linear operator pencils, the pseudospectrum, and the essential pseudospectrum of bounded linear operator pencils on non-Archimedean Banach spaces. Furthermore, Blali, El Amrani, and Ettayb [5] gave a characterization of the essential spectrum of the operator pencil  $(A, B)$ , where  $A$  is a closed linear operator and  $B$  is a bounded linear operator through the Fredholm operators on a Banach space of countable type over  $\mathbb{Q}_p$ . In [4], Blali, El Amrani, and Ettayb defined and studied the trace pseudospectrum, the  $\varepsilon$ -determinant spectrum, and the  $\varepsilon$ -trace of bounded linear operator pencils on non-Archimedean Banach spaces. Recently, Ettayb [11] defined and established some results on the  $C$ -trace pseudospectrum, the  $M$ -determinant pseudospectrum and the pseudospectrum of non-Archimedean matrix pencils. This work is motivated by many studies related to the topic of eigenvalue problems in non-Archimedean operator theory and perturbation theory, see [2, 3, 5, 13, 17].

The purpose of this work is to prove more results on the non-Archimedean pseudospectrum of operator pencils. We initiate the study of non-Archimedean structured pseudospectrum of linear operators.

Throughout this paper,  $X$  and  $Y$  are non-Archimedean Banach spaces over a complete non-Archimedean valued field  $\mathbb{K}$  with a non-trivial valuation  $|\cdot|$ ,  $\mathcal{L}(X, Y)$  denotes the set of all bounded linear operators from  $X$  into  $Y$  and  $X^* = \mathcal{L}(X, \mathbb{K})$  is the dual space of  $X$ . When  $X = Y$ , we set  $\mathcal{L}(X, Y) = \mathcal{L}(X)$ . Let  $A \in \mathcal{L}(X)$ ,  $N(A)$  and  $R(A)$  denote the kernel and range of  $A$  respectively. For additional details, we refer to [6, 18]. The space  $X$  is said to be spherically complete if the intersection of every decreasing sequence of balls in  $X$  is nonempty. Recall that, an unbounded linear operator  $A : D(A) \subseteq X \rightarrow Y$  is said to be closed if for all  $(x_n)_{n \in \mathbb{N}} \subset D(A)$  such that  $\|x_n - x\| \rightarrow 0$  and  $\|Ax_n - y\| \rightarrow 0$  as  $n \rightarrow \infty$ , for some  $x \in X$  and  $y \in Y$ , then  $x \in D(A)$  and  $y = Ax$ . The collection of all closed linear operators from  $X$  into  $Y$  is denoted by  $\mathcal{C}(X, Y)$ . When  $X = Y$ , we put  $\mathcal{C}(X, X) = \mathcal{C}(X)$ . Note that, if  $A \in \mathcal{L}(X)$  and  $B$  is an unbounded linear operator, then  $A + B$  is closed if and only if  $B$  is closed [6]. We refer to [3, 6, 18] for more details on non-Archimedean operator theory. There are many interesting works on pseudospectrum in the classical Banach spaces, see [15, 19].

## 2. Preliminaries

In the next definition,  $X$  and  $Y$  are two vector spaces over  $\mathbb{K}$ .

**Definition 2.1** ([17]). *We say that  $A \in \mathcal{L}(X, Y)$  has an index, when both  $\alpha(A) = \dim N(A)$  and  $\beta(A) = \dim(Y/R(A))$  are finite. In this case, the index of the linear operator  $A$  is defined as  $ind(A) = \alpha(A) - \beta(A)$ .*

**Definition 2.2** ([17]). *Let  $A \in \mathcal{L}(X, Y)$ ,  $A$  is said to be upper semi-Fredholm operator, if  $\alpha(A)$  is finite and  $R(A)$  is closed. The set of all upper semi-Fredholm operators from  $X$  into  $Y$  is denoted by  $\Phi_+(X, Y)$ .*

**Definition 2.3** ([17]). *Let  $A \in \mathcal{L}(X, Y)$ ,  $A$  is said to be lower semi-Fredholm operator, if  $\beta(A)$  is finite. The set of all lower semi-Fredholm operators from  $X$  into  $Y$  is denoted by  $\Phi_-(X, Y)$ .*

The set of all Fredholm operators from  $X$  into  $Y$  is defined by

$$\Phi(X, Y) = \Phi_+(X, Y) \cap \Phi_-(X, Y).$$

Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ . A subset  $A$  of  $X$  is said to be compactoid, if for every  $\varepsilon > 0$ , there is a finite subset  $B$  of  $X$  such that  $A \subset B_\varepsilon(0) + C_0(B)$ , where  $B_\varepsilon(0) = \{x \in X : \|x\| \leq \varepsilon\}$  and  $C_0(B)$  is the absolutely convex hull of  $X$ , i.e.,

$$C_0(B) = \{\lambda_1 x_1 + \dots + \lambda_n x_n : n \in \mathbb{N}, \lambda_1, \dots, \lambda_n \in B_{\mathbb{K}}, x_1, \dots, x_n \in B\}.$$

For additional details, see [18]. Now, we recall the notions of compact operators, operators of finite rank and completely continuous operators.

**Definition 2.4** ([18]). *Let  $A \in \mathcal{L}(X, Y)$ .  $A$  is said to be compact, if  $A(B_X)$  is compactoid in  $Y$ , where  $B_X = \{x \in X : \|x\| \leq 1\}$ .*

We denote by  $\mathcal{K}(X, Y)$ , the set of all compact operators from  $X$  into  $Y$ .

**Definition 2.5** ([18]). *Let  $A \in \mathcal{L}(X, Y)$ .  $A$  is called an operator of finite rank, if  $\dim R(A)$  is finite. The set of all operators of finite rank is denoted by  $\mathcal{F}_0(X, Y)$ .*

**Definition 2.6** ([6]). *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$  and let  $A \in \mathcal{L}(X)$ .  $A$  is said to be completely continuous, if there exists a sequence  $(A_n)_n$  in  $\mathcal{F}_0(X)$  such that  $\|A_n - A\| \rightarrow 0$  as  $n \rightarrow \infty$ . The collection of completely continuous linear operators on  $X$  is denoted by  $\mathcal{C}_c(X)$ .*

Now, we give a characterization of compact operators as follows.

**Theorem 2.7** ([18]). *Let  $A \in \mathcal{L}(X, Y)$ . Then  $A$  is compact if, and only if, for every  $\varepsilon > 0$ , there exists an operator  $S \in \mathcal{L}(X, Y)$  such that  $R(S)$  is finite-dimensional and  $\|A - S\| < \varepsilon$ .*

**Remark 2.8** ([18]).

- (i) In a non-Archimedean Banach space  $X$ , we do not have the relationship between  $\mathcal{C}_c(X)$  and  $\mathcal{K}(X)$  as a classical case. Serre has proved that those concepts coincide, when  $\mathbb{K}$  is locally compact.
- (ii) If  $\mathbb{K}$  is locally compact. Then all completely continuous linear operators on  $X$  are compact.
- (iii) If  $\mathbb{K}$  is locally compact. Then  $A$  is compact if, and only if,  $A(B_X)$  has compact closure.

The following theorem showed that the set of all Fredholm operators is invariant under preservation by compact operators.

**Theorem 2.9** ([17]). Suppose that  $\mathbb{K}$  is spherically complete. Then, for each  $A \in \Phi(X, Y)$  and  $K \in \mathcal{K}(X, Y)$ ,  $A + K \in \Phi(X, Y)$  and  $\text{ind}(A + K) = \text{ind}(A)$ .

**Lemma 2.10** ([13]). Suppose that  $\mathbb{K}$  is spherically complete. If  $x_1^*, \dots, x_n^*$  are linearly independent vectors in  $X^*$ , then there are vectors  $x_1, \dots, x_n$  in  $X$  such that

$$(2.1) \quad x_j^*(x_k) = \delta_{j,k} = \begin{cases} 1, & \text{if } j = k; \\ 0, & \text{if } j \neq k. \end{cases} \quad 1 \leq j, k \leq n.$$

Moreover, if  $x_1, \dots, x_n$  are linearly independent vectors in  $X$ , then there are vectors  $x_1^*, \dots, x_n^*$  in  $X^*$  such that (2.1) holds.

**Theorem 2.11** ([14]). Assume that  $X, Y$  are non-Archimedean Banach spaces over  $\mathbb{K}$ . Let  $A : D(A) \subseteq X \rightarrow Y$  be a surjective closed linear operator. Then  $A$  is an open map.

When the domain of  $A$  is dense in  $X$ , the adjoint operator  $A^*$  of  $A$  is defined as usual. Specifically, the operator  $A^* : D(A^*) \subseteq Y^* \rightarrow X^*$  satisfies

$$\langle Ax, y^* \rangle = \langle x, A^*y^* \rangle$$

for all  $x \in D(A)$ ,  $y^* \in D(A^*)$ .

**Theorem 2.12** ([18]). Suppose that  $\mathbb{K}$  is spherically complete. Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ . For any  $x \in X \setminus \{0\}$ , there exists  $x^* \in X^*$  such that  $x^*(x) = 1$  and  $\|x^*\| = \|x\|^{-1}$ .

**Remark 2.13** ([18]).  $\mathbb{Q}_p$  is spherically complete and locally compact.

In the next theorem,  $\Phi_0(X, Y)$  denotes the set of all bounded linear Fredholm operators of index zero.

**Theorem 2.14** ([13]). Let  $\mathbb{K}$  be spherically complete. Let  $X, Y$  be non-Archimedean Banach spaces over  $\mathbb{K}$ . Every operator in  $\Phi_0(X, Y)$  is a sum of an invertible operator and an operator of finite rank.

**Corollary 2.15** ([13]). If  $X, Y$  are non-Archimedean Banach spaces over  $\mathbb{Q}_p$  and  $B \in \mathcal{L}(X, Y)$  where  $B$  is invertible and  $K$  is compact, then  $\text{ind}(B + K) = 0$ .

**Theorem 2.16** ([13]). Let  $X$  be a non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$ . If  $A, B \in \Phi(X)$ , then  $BA \in \Phi(X)$ .

**Theorem 2.17** ([10]). *Let  $X$  be a non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$  such that  $\|X\| \subseteq |\mathbb{K}|$ , and let  $A, B \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . Then,*

$$\sigma_\varepsilon(A, B) = \bigcup_{C \in \mathcal{L}(X): \|C\| < \varepsilon} \sigma(A + C, B).$$

### 3. Main Results

From Theorem 2.14, we conclude the following lemma.

**Lemma 3.1.** *Let  $X, Y$  be non-Archimedean Banach spaces over  $\mathbb{Q}_p$ . Every operator in  $\Phi_0(X, Y)$  is a sum of an invertible operator and compact operator.*

We have the following proposition.

**Proposition 3.2.** *Let  $X, Y$  be non-Archimedean Banach spaces over  $\mathbb{Q}_p$ . Then  $A \in \Phi_0(X, Y)$  if and only if  $A = B + K$  where  $B$  is invertible and  $K$  is compact.*

*Proof.* Let  $A \in \Phi_0(X, Y)$ . By Theorem 2.14,  $A = B + K$  where  $B$  is invertible and  $K$  is of finite rank. Since  $\mathbb{K} = \mathbb{Q}_p$ , by Theorem 2.7,  $K$  is a compact operator. The converse follows from Corollary 2.15. □

As the classical setting, we have the following lemma.

**Lemma 3.3.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{Q}_p$ . Suppose that  $A \in \mathcal{L}(X)$  and there are  $B_0, B_1 \in \mathcal{L}(X)$  such that  $B_0A$  and  $AB_1$  are in  $\Phi(X)$ . Then  $A \in \Phi(X)$ .*

**Definition 3.4.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ , let  $A, B \in \mathcal{L}(X)$ . The Fredholm spectrum  $\sigma_F(A, B)$  of the operator pencil  $(A, B)$  of the form  $A - \lambda B$  is given by*

$$\sigma_F(A, B) = \{\lambda \in \mathbb{K} : A - \lambda B \notin \Phi(X)\}.$$

The Fredholm resolvent of  $(A, B)$  is  $\rho_F(A, B) = \mathbb{K} \setminus \sigma_F(A, B)$ .

The following theorem gives a relationship between the Fredholm spectrum of a bounded operator pencil  $(A, B)$  and the Fredholm spectrum of the operator pencil  $(A^{-1}, B^{-1})$ .

**Theorem 3.5.** *Let  $X$  be non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$ , and let  $A, B \in \mathcal{L}(X)$  such that  $AB = BA$  and  $0 \in \rho(A) \cap \rho(B)$ . Then  $\lambda \in \sigma_F(A, B)$  if and only if  $\frac{1}{\lambda} \in \sigma_F(A^{-1}, B^{-1})$ .*

*Proof.* We have

$$(3.1) \quad A - \lambda B = -\lambda B(A^{-1} - \lambda^{-1}B^{-1})A.$$

Let  $\frac{1}{\lambda} \in \mathbb{K} \setminus \sigma_F(A^{-1}, B^{-1})$ , then  $A^{-1} - \lambda^{-1}B^{-1} \in \Phi(X)$ . Since  $0 \in \rho(A) \cap \rho(B)$ ,  $A, B \in \Phi(X)$  and  $\text{ind}(A) = \text{ind}(B) = 0$ . We can conclude that  $A - \lambda B \in \Phi(X)$ . Thus  $\lambda \in \mathbb{K} \setminus \sigma_F(A, B)$ . On the other

hand, from (3.1), we have

$$\begin{aligned} \text{ind}(A - \lambda B) &= \text{ind}(-\lambda B(A^{-1} - \lambda^{-1}B^{-1})A) \\ &= \text{ind}(B) + \text{ind}(A) + \text{ind}(A^{-1} - \lambda^{-1}B^{-1}) \\ &= \text{ind}(A^{-1} - \lambda^{-1}B^{-1}). \end{aligned}$$

Conversely, let  $0 \neq \lambda \in \mathbb{K} \setminus \sigma_F(A, B)$ , hence  $(A - \lambda B) \in \Phi(X)$ , then by (3.1),  $B(A^{-1} - \lambda^{-1}B^{-1})A \in \Phi(X)$ . Since  $A, B \in \Phi(X)$ ,  $A^{-1} - \lambda^{-1}B^{-1} \in \Phi(X)$ , thus  $\frac{1}{\lambda} \notin \sigma_F(A^{-1}, B^{-1})$ .  $\square$

From [9, Definition 2.3], we have the following:

**Definition 3.6.** Let  $A \in \mathcal{C}(X)$ ,  $B \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . The pseudospectrum  $\sigma_\varepsilon(A, B)$  of a operator pencil  $(A, B)$  of the form  $A - \lambda B$  on  $X$  is defined by

$$\sigma_\varepsilon(A, B) = \sigma(A, B) \cup \{\lambda \in \mathbb{K} : \|(A - \lambda B)^{-1}\| > \varepsilon^{-1}\}.$$

The pseudoresolvent  $\rho_\varepsilon(A, B)$  of a operator pencil  $(A, B)$  of the form  $A - \lambda B$  is defined by

$$\rho_\varepsilon(A, B) = \rho(A, B) \cap \{\lambda \in \mathbb{K} : \|(A - \lambda B)^{-1}\| \leq \varepsilon^{-1}\},$$

by convention  $\|(A - \lambda B)^{-1}\| = \infty$ , if  $\lambda \in \sigma(A, B)$ .

Now, we give a characterization of the essential spectrum of non-Archimedean operator pencils as follows.

**Proposition 3.7.** Let  $X$  be a non-Archimedean Banach space over  $\mathbb{Q}_p$ , let  $A, B \in \mathcal{L}(X)$ . Then

$$\bigcap_{K \in \mathcal{K}(X)} \sigma(A + K, B) = \{\lambda \in \mathbb{Q}_p : A - \lambda B \notin \Phi(X)\} \cup \{\lambda \in \mathbb{Q}_p : \text{ind}(A - \lambda B) \neq 0\}.$$

*Proof.* Let  $\lambda \notin \{\lambda \in \mathbb{Q}_p : A - \lambda B \notin \Phi(X)\} \cup \{\lambda \in \mathbb{Q}_p : \text{ind}(A - \lambda B) \neq 0\}$ . Then  $A - \lambda B \in \Phi(X)$ , and  $\text{ind}(A - \lambda B) = 0$ . By Lemma 3.1, there is  $K \in \mathcal{K}(X)$  such that  $\lambda \in \rho(A + K, B)$ . Thus  $\lambda \notin$

$\bigcap_{K \in \mathcal{K}(X)} \sigma(A + K, B)$ . Hence

$$\bigcap_{K \in \mathcal{K}(X)} \sigma(A + K, B) \subseteq \{\lambda \in \mathbb{Q}_p : A - \lambda B \notin \Phi(X)\} \cup \{\lambda \in \mathbb{Q}_p : \text{ind}(A - \lambda B) \neq 0\}.$$

Let  $\lambda \notin \bigcap_{K \in \mathcal{K}(X)} \sigma(A + K, B)$ , then  $A + K - \lambda B \in \Phi(X)$ , and  $\text{ind}(A + K - \lambda B) = 0$ . Hence  $A - \lambda B = A - \lambda B + K - K$ . By Theorem 2.9,  $A - \lambda B \in \Phi(X)$  and  $\text{ind}(A + K - \lambda B) = \text{ind}(A - \lambda B) = 0$ . Consequently,

$$\lambda \notin \{\lambda \in \mathbb{Q}_p : A - \lambda B \notin \Phi(X)\} \cup \{\lambda \in \mathbb{Q}_p : \text{ind}(A - \lambda B) \neq 0\}.$$

This completes the proof.  $\square$

From the definition of the pseudospectrum of operator pencils, we deduce the following theorem.

**Theorem 3.8.** Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ . Let  $A \in \mathcal{C}(X)$ ,  $B \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . Then

$$\sigma_\varepsilon(A, B) = \sigma(A, B) \cup \{\lambda \in \mathbb{K} : \exists x \in D(A), \|(A - \lambda B)x\| < \varepsilon \|x\|\}.$$

*Proof.* Let  $\lambda \in \sigma_\varepsilon(A, B)$ , then  $\lambda \in \sigma(A, B)$  or  $\|(A - \lambda B)^{-1}\| > \frac{1}{\varepsilon}$ . If  $\lambda \in \sigma_\varepsilon(A, B)$ , and  $\lambda \notin \sigma(A, B)$ , then there exists  $y \in X \setminus \{0\}$  such that

$$(3.2) \quad \frac{\|(A - \lambda B)^{-1}y\|}{\|y\|} > \frac{1}{\varepsilon}.$$

Set  $x = (A - \lambda B)^{-1}y$  with  $x \in D(A)$ . By (3.2),

$$\frac{\|x\|}{\|(A - \lambda B)x\|} > \frac{1}{\varepsilon}.$$

Thus there exists  $x \in D(A)$  such that  $\|(A - \lambda B)x\| < \varepsilon\|x\|$ . Conversely, let  $\lambda \in \mathbb{K}$  such that there exists  $x \in D(A)$  and

$$(3.3) \quad \|(A - \lambda B)x\| < \varepsilon\|x\|$$

or  $\lambda \in \sigma(A, B)$ . If  $\lambda \notin \sigma(A, B)$  and put  $y = (A - \lambda B)x$ , then  $x = (A - \lambda B)^{-1}y$ . Hence by (3.3),

$$\|y\| < \varepsilon\|(A - \lambda B)^{-1}y\|.$$

Since  $y \neq 0$ , it follows that

$$\frac{1}{\varepsilon} < \|(A - \lambda B)^{-1}\|,$$

then  $\lambda \in \sigma_\varepsilon(A, B)$ . □

As the classical setting, we have the following theorem.

**Theorem 3.9.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$  such that  $\|X\| \subseteq |\mathbb{K}|$ , and let  $A \in \mathcal{C}(X)$ ,  $B \in \mathcal{L}(X)$ , and  $\varepsilon > 0$ . Then*

$$\sigma_\varepsilon(A, B) = \sigma(A, B) \cup \{\lambda \in \mathbb{K} : \exists x_n \in D(A), \|x_n\| = 1 \text{ and } \lim_{n \rightarrow \infty} \|(A - \lambda B)x_n\| < \varepsilon\}.$$

The next corollary is essential in the proof of Proposition 3.11.

**Corollary 3.10.** *For all  $\lambda \in \sigma(A, B)$  and  $\mu \in \mathbb{K}$ , we have  $\lambda + \mu \in \sigma(A + \mu B, B)$ .*

*Proof.* If  $\lambda + \mu \in \rho(A + \mu B, B)$ , then  $(A + \mu B - (\lambda + \mu)B)^{-1} \in \mathcal{L}(X)$ , hence  $(A - \lambda B)^{-1} \in \mathcal{L}(X)$  which is a contradiction. □

In the following proposition, we collect some properties of non-Archimedean pseudospectrum of operator pencils.

**Proposition 3.11.** *Let  $X$  be a non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$  such that  $\|X\| \subseteq |\mathbb{K}|$ . Let  $A \in \mathcal{C}(X)$ ,  $B \in \mathcal{L}(X)$ , such that  $\|B\| \leq 1$ , and  $\varepsilon, \delta > 0$ . Then*

- (i)  $\sigma(A, B) + B(0, \varepsilon) \subseteq \sigma_\varepsilon(A, B)$ , where  $B(0, \varepsilon)$  is the open disk centered at zero with radius  $\varepsilon$ ;
- (ii)  $\sigma_\varepsilon(A, B) + B(0, \delta) \subseteq \sigma_{\varepsilon+\delta}(A, B)$ .

*Proof.*

- (i) Let  $\lambda \in \sigma(A, B) + B(0, \varepsilon)$ , then there is  $\lambda_1 \in \sigma(A, B)$  and  $\lambda_2 \in B(0, \varepsilon)$  such that  $\lambda = \lambda_1 + \lambda_2$ . Since  $\lambda_1 \in \sigma(A, B)$ , from Corollary 3.10,  $\lambda_1 + \lambda_2 \in \sigma(A + \lambda_2 B, B)$ . Also  $|\lambda_2|\|B\| < \varepsilon$ . Set  $D = \lambda_2 B$ . Then  $D \in \mathcal{L}(X)$ ,  $\|D\| < \varepsilon$  and  $\lambda \in \sigma(A + D, B)$ . By Theorem 2.17,  $\lambda \in \sigma_\varepsilon(A, B)$ .

(ii) Let  $\lambda \in \sigma_\varepsilon(A, B) + B(0, \delta)$ , then there is  $\lambda_1 \in \sigma_\varepsilon(A, B)$  and  $\lambda_2 \in B(0, \delta)$  such that  $\lambda = \lambda_1 + \lambda_2$ . Since  $\lambda_1 \in \sigma_\varepsilon(A, B)$ , by Theorem 2.17, there is  $C \in \mathcal{L}(X)$  such that  $\|C\| < \varepsilon$  and  $\lambda_1 \in \sigma(A + C, B)$ . By Corollary 3.10,  $\lambda = \lambda_1 + \lambda_2 \in \sigma(A + C + \lambda_2 B, B)$ . Also, we have  $C + \lambda_2 B \in \mathcal{L}(X)$  with

$$\|C + \lambda_2 B\| \leq \max\{\|C\|, |\lambda_2| \|B\|\} < \max\{\varepsilon, \delta\} < \varepsilon + \delta.$$

From Theorem 2.17, we conclude that  $\lambda \in \sigma_{\varepsilon+\delta}(A, B)$ . □

The next proposition gives a relationship between the spectrum of  $AB$  and the spectrum of  $BA$ .

**Proposition 3.12.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ , and let  $A \in \mathcal{L}(X)$ , then  $1 \notin \sigma(AB)$  if and only if  $1 \notin \sigma(BA)$ .*

*Proof.* Let  $1 \notin \sigma(AB)$ , then  $(I - AB)^{-1}$  is invertible, hence there is  $C \in \mathcal{L}(X)$  such that

$$C(I - AB) = (I - AB)C = I.$$

Thus  $C = I + CAB = I + ABC$ , then  $ABC = CAB$ . Moreover,

$$\begin{aligned} (I + BCA)(I - BA) &= I - BA + BCA - BCABA \\ &= I - BA + BC(I - AB)A \\ &= I - BA + BA \\ &= I, \end{aligned}$$

and

$$\begin{aligned} (I - BA)(I + BCA) &= I - BA + BCA - BABCA \\ &= I - BA + BCA - BCABA \text{ since } ABC = CAB \\ &= I - BA + BC(I - AB)A \\ &= I - BA + BA \\ &= I. \end{aligned}$$

Hence  $I + BCA$  is the inverse of  $I - BA$ . Consequently,  $1 \notin \sigma(BA)$ . Similarly, we obtain that if  $1 \notin \sigma(BA)$ , then  $1 \notin \sigma(AB)$ . □

We introduce the following definition.

**Definition 3.13.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ , such that  $\|X\| \subseteq |\mathbb{K}|$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . The structured pseudospectrum  $\sigma_\varepsilon(A, B, C)$  of  $A$  is defined by*

$$\sigma_\varepsilon(A, B, C) = \bigcup_{D \in \mathcal{L}(X): \|D\| < \varepsilon} \sigma(A + CDB).$$

**Remark 3.14.** *Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . If  $C = B = I$ , then  $\sigma_\varepsilon(A, I, I) = \sigma_\varepsilon(A)$  is the pseudospectrum of  $A$ .*



The following theorem gives a characterization of the structured pseudospectrum of operator pencils on non-Archimedean Banach spaces.

**Theorem 3.15.** *Let  $X$  be a non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$  such that  $\|X\| \subseteq |\mathbb{K}|$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  such that  $0 \in \rho(B) \cap \rho(C)$  and  $\varepsilon > 0$ . Then,*

$$\sigma_\varepsilon(A, B, C) = \sigma(A) \cup \left\{ \lambda \in \mathbb{K} : \|B(A - \lambda I)^{-1}C\| > \frac{1}{\varepsilon} \right\}.$$

*Proof.* If  $D = 0$ , we have

$$\sigma(A) \subseteq \sigma_\varepsilon(A, B, C).$$

If  $D \neq 0$ , let  $\lambda \notin \sigma(A)$ . If  $\|B(A - \lambda I)^{-1}C\| \leq \varepsilon^{-1}$ . Then for all  $D \in \mathcal{L}(X) : \|D\| < \varepsilon$ . Hence  $\|DB(A - \lambda I)^{-1}C\| < 1$ . Therefore,  $I - DB(A - \lambda I)^{-1}C$  is invertible. By Proposition 3.12, for all  $D \in \mathcal{L}(X) : \|D\| < \varepsilon$ ,  $1 \notin \sigma(DB(A - \lambda I)^{-1}C)$  if and only if  $1 \notin \sigma(CDB(A - \lambda I)^{-1})$ . Thus

$$A + CDB - \lambda I = (I + CDB(A - \lambda I)^{-1})(A - \lambda I).$$

Consequently,

$$\lambda \notin \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma(A + CDB).$$

For the converse inclusion, if  $\lambda \notin \sigma(A)$ , then  $\|B(A - \lambda I)^{-1}C\| > \varepsilon^{-1}$ . Hence

$$\sup_{x \in X \setminus \{0\}} \frac{\|B(A - \lambda I)^{-1}Cx\|}{\|x\|} > \frac{1}{\varepsilon}.$$

Thus there exists  $x \in X \setminus \{0\}$  such that

$$(3.4) \quad \|B(A - \lambda I)^{-1}Cx\| > \frac{\|x\|}{\varepsilon}.$$

Set  $y = B(A - \lambda I)^{-1}Cx$ , thus  $C^{-1}(A - \lambda I)B^{-1}y = x$ . From (3.4),

$$(3.5) \quad \|C^{-1}(A - \lambda I)B^{-1}y\| < \varepsilon\|y\|.$$

Since  $\|X\| \subseteq |\mathbb{K}|$ , there is  $c \in \mathbb{K} \setminus \{0\}$  such that  $\|y\| = |c|$ , set  $z = c^{-1}y$  hence  $\|z\| = 1$ . From (3.5),  $\|C^{-1}(A - \lambda I)B^{-1}z\| < \varepsilon$ . By Theorem 2.12, there is  $\phi \in X^*$  such that  $\phi(z) = 1$  and  $\|\phi\| = \|z\|^{-1} = 1$ . Put for all  $x \in X$ ,  $Dx = \phi(x)C^{-1}(\lambda I - A)B^{-1}z$ . Hence

$$\begin{aligned} \|Dx\| &= |\phi(x)|\|C^{-1}(A - \lambda I)B^{-1}z\| \\ &\leq \|\phi\|\|x\|\|C^{-1}(A - \lambda I)B^{-1}z\| \\ &< \varepsilon\|x\|. \end{aligned}$$

So  $D \in \mathcal{L}(X)$  with  $\|D\| < \varepsilon$ . Moreover for  $z \neq 0$ , we have  $(A + CDB - \lambda I)z = 0$ , thus  $A + CDB - \lambda I$  is not injective, then  $A + CDB - \lambda I$  is not invertible. Using Definition 3.13,  $\lambda \in \sigma_\varepsilon(A, B, C)$ .  $\square$

Now, we collect some properties of non-Archimedean structured pseudospectrum of operators pencils.

**Theorem 3.16.** *Let  $X$  be a non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$  such that  $\|X\| \subseteq |\mathbb{K}|$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  such that  $0 \in \rho(B) \cap \rho(C)$  and  $\varepsilon > 0$ . Then,*

- (i) For all  $\varepsilon_1, \varepsilon_2$  such that  $\varepsilon_1 \leq \varepsilon_2$ , we have  $\sigma_{\varepsilon_1}(A, B, C) \subseteq \sigma_{\varepsilon_2}(A, B, C)$ ;
- (ii)  $\sigma(A) = \bigcap_{\varepsilon > 0} \sigma_{\varepsilon}(A, B, C)$ .

*Proof.*

- (i) Let  $\lambda \in \sigma_{\varepsilon_1}(A, B, C)$ , then by Theorem 3.15,  $\|B(A - \lambda I)^{-1}C\| > \varepsilon_1^{-1} \geq \varepsilon_2^{-1}$ . Thus  $\lambda \in \sigma_{\varepsilon_2}(A, B, C)$ .
- (ii) Since for all  $\varepsilon > 0$ ,  $\sigma(A) \subseteq \sigma_{\varepsilon}(A, B, C)$ , we have  $\sigma(A) \subseteq \bigcap_{\varepsilon > 0} \sigma_{\varepsilon}(A, B, C)$ .

Conversely, if  $\lambda \in \bigcap_{\varepsilon > 0} \sigma_{\varepsilon}(A, B, C)$ , then for all  $\varepsilon > 0$ ,  $\lambda \in \sigma_{\varepsilon}(A, B, C)$ . If  $\lambda \notin \sigma(A)$ , then  $\lambda \in \{\lambda \in \mathbb{K} : \|B(A - \lambda I)^{-1}C\| > \varepsilon^{-1}\}$ , taking limits as  $\varepsilon \rightarrow 0^+$ , we get  $\|B(A - \lambda I)^{-1}C\| = \infty$ . Thus  $\lambda \in \sigma(A)$ . □

**Theorem 3.17.** *Let  $X$  be a non-Archimedean Banach space over a spherically complete field  $\mathbb{K}$  such that  $\|X\| \subseteq |\mathbb{K}|$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  such that  $0 \in \rho(B) \cap \rho(C)$ ,  $B(D(A)) = D(A)$  and  $\varepsilon > 0$ . Then,*

$$\sigma_{\varepsilon}(A, B, C) = \sigma(A) \cup \{\lambda \in \mathbb{K} : \exists x \in D(A), \|x\| = 1, \|C^{-1}(A - \lambda I)B^{-1}x\| < \varepsilon\}.$$

*Proof.* From Theorem 3.15,

$$\sigma_{\varepsilon}(A, B, C) = \sigma(A) \cup \{\lambda \in \mathbb{K} : \|B(A - \lambda I)^{-1}C\| > \frac{1}{\varepsilon}\}.$$

Let  $\lambda \in \sigma_{\varepsilon}(A, B, C) \setminus \sigma(A)$ , then  $\|B(A - \lambda I)^{-1}C\| > \varepsilon^{-1}$ . Thus there exists  $x \in X \setminus \{0\}$  such that

$$(3.6) \quad \|B(A - \lambda I)^{-1}Cx\| > \frac{\|x\|}{\varepsilon}.$$

Set  $y = B(A - \lambda I)^{-1}Cx$  with  $y \in D(A)$ , thus  $C^{-1}(A - \lambda I)B^{-1}y = x$ . From (3.6),

$$(3.7) \quad \|C^{-1}(A - \lambda I)B^{-1}y\| < \varepsilon\|y\|.$$

Since  $\|X\| \subseteq |\mathbb{K}|$ , there is  $c \in \mathbb{K} \setminus \{0\}$  such that  $\|y\| = |c|$ , set  $z = c^{-1}y$ , hence  $\|z\| = 1$ . By (3.7),  $\|C^{-1}(A - \lambda I)B^{-1}y\| < \varepsilon$ . Conversely, assume that there is  $z \in D(A)$  such that  $\|z\| = 1$  and  $\|C^{-1}(A - \lambda I)B^{-1}y\| < \varepsilon$ . By Theorem 2.12, there is  $\phi \in X^*$  such that  $\phi(z) = 1$  and  $\|\phi\| = \|z\|^{-1} = 1$ . Set for any  $x \in X$ ,  $Dx = \phi(x)C^{-1}(\lambda I - A)B^{-1}z$ . Hence for each  $x \in X$ ,

$$\begin{aligned} \|Dx\| &= |\phi(x)|\|C^{-1}(A - \lambda I)B^{-1}z\| \\ &\leq \|\phi\|\|x\|\|C^{-1}(A - \lambda I)B^{-1}z\| \\ &< \varepsilon\|x\|. \end{aligned}$$

Then  $D \in \mathcal{L}(X) : \|D\| < \varepsilon$ . Moreover for  $z \neq 0$ , we have  $(A + CDB - \lambda I)z = 0$ , thus  $A + CDB - \lambda I$  is not injective, then  $A + CDB - \lambda I$  is not invertible. By Definition 3.13,  $\lambda \in \sigma_{\varepsilon}(A, B, C)$ . □

We have the following definition.

**Definition 3.18.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{Q}_p$  such that  $\|X\| \subseteq |\mathbb{Q}_p|$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . The structured Fredholm pseudospectrum  $\sigma_{F,\varepsilon}(A, B, C)$  of  $A$  is*

given by

$$\sigma_{F,\varepsilon}(A, B, C) = \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma_F(A + CDB).$$

**Remark 3.19.** Let  $X$  be a non-Archimedean Banach space over  $\mathbb{K}$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C, D \in \mathcal{L}(X)$ . Then,

$$(3.8) \quad (\lambda I - A)(\lambda I - CDB) = ACDB + \lambda(\lambda I - A - CDB)$$

and

$$(3.9) \quad (\lambda I - CDB)(\lambda I - A) = CDBA + \lambda(\lambda I - A - CDB).$$

We obtain the following theorem.

**Theorem 3.20.** Let  $X$  be a non-Archimedean Banach space over  $\mathbb{Q}_p$  such that  $\|X\| \subseteq |\mathbb{Q}_p|$ . Let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . If for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $ACDB$  is a Fredholm operator, then

$$\sigma_{F,\varepsilon}(A, B, C) \setminus \{0\} \subset \left[ \sigma_F(A) \cup \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma_F(CDB) \right] \setminus \{0\}.$$

Moreover, if for all  $D \in \mathcal{L}(X) : \|D\| < \varepsilon$ ,  $CDBA$  and  $ACDB$  are Fredholm operators, then

$$\sigma_{F,\varepsilon}(A, B, C) \setminus \{0\} = \left[ \sigma_F(A) \cup \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma_F(CDB) \right] \setminus \{0\}.$$

*Proof.* If  $\lambda \notin \left[ \sigma_F(A) \cup \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma_F(CDB) \right] \setminus \{0\}$  or  $\lambda = 0$ . If  $\lambda \neq 0$ , then  $\lambda I - A \in \Phi(X)$  and for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $\lambda I - CDB \in \Phi(X)$ . From Theorem 2.16, for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $(\lambda I - A)(\lambda I - CDB) \in \Phi(X)$ . Since  $ACDB$  is Fredholm, using (3.8), we get for all  $D \in \mathcal{L}(X) : \|D\| < \varepsilon$ ,  $\lambda I - A - CDB \in \Phi(X)$ . Consequently,  $\lambda \notin \sigma_{F,\varepsilon}(A, B, C) \setminus \{0\}$ . For the converse inclusion, let  $\lambda \notin \sigma_{F,\varepsilon}(A, B, C) \setminus \{0\}$ , then for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $\lambda \notin \sigma_F(A + CDB)$  or  $\lambda = 0$ . If  $\lambda \neq 0$ , then for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $\lambda I - A - CDB \in \Phi(X)$ . Since for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $ACDB$  and  $CDBA$  are Fredholm. Thus for each  $\|D\| < \varepsilon$ ,  $(\lambda I - A)(\lambda I - CDB) \in \Phi(X)$  and  $(\lambda I - CDB)(\lambda I - A) \in \Phi(X)$ . By Lemma 3.3,  $\lambda I - A \in \Phi(X)$  and for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $\lambda - CDB \in \Phi(X)$ . Hence

$$\lambda \notin \left[ \sigma_F(A) \cup \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma_F(CDB) \right] \setminus \{0\}.$$

□

**Definition 3.21.** Let  $X$  be a non-Archimedean Banach space over  $\mathbb{Q}_p$ , and let  $A \in \mathcal{C}(X)$ ,  $B, C \in \mathcal{L}(X)$  and  $\varepsilon > 0$ . The structured essential pseudospectrum  $\sigma_{e,\varepsilon}(A, B, C)$  of the linear operator  $A$  is given by

$$\sigma_{e,\varepsilon}(A, B, C) = \bigcup_{D \in \mathcal{L}(X) : \|D\| < \varepsilon} \sigma_e(A + CDB)$$

where  $\sigma_e(M) = \{\lambda \in \mathbb{Q}_p : M - \lambda I \text{ is not Fredholm of index } 0\}$  for  $M \in \mathcal{L}(X)$ .

Now, we characterize the structured essential pseudospectrum of non-Archimedean bounded linear operator pencils as follows.

**Theorem 3.22.** *Let  $X$  be a non-Archimedean Banach space over  $\mathbb{Q}_p$  such that  $\|X\| \subseteq |\mathbb{Q}_p|$ . Let  $A \in \mathcal{L}(X)$ ,  $B, C, D \in \mathcal{L}(X)$ ,  $\lambda \in \mathbb{Q}_p$  and  $\varepsilon > 0$  such that  $A^*, B^*, C^*, D^*$  exist and  $N((A + CDB - \lambda I)^*) = R(A + CDB - \lambda I)^\perp$ . Then  $\lambda \notin \sigma_{e,\varepsilon}(A, B, C)$ , if and only if  $\lambda \notin \bigcap_{K \in \mathcal{K}(X)} \sigma_\varepsilon(A + K, B, C)$ .*

*Proof.* Let  $\lambda \notin \sigma_{e,\varepsilon}(A, B, C)$ , then for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ , we have  $A + CDB - \lambda I \in \Phi(X)$  and  $\text{ind}(A + CDB - \lambda I) = 0$ . Put  $\alpha(A + CDB - \lambda I) = \beta(A + CDB - \lambda I) = n$ . Let  $\{x_1, \dots, x_n\}$  being the basis for  $N(A + CDB - \lambda I)$  and  $\{y_1^*, \dots, y_n^*\}$  being the basis for  $R(A + CDB - \lambda I)^\perp$ . By Lemma 2.10, there are functionals  $x_1^*, \dots, x_n^*$  in  $X^*$  ( $X^*$  is the dual space of  $X$ ) and elements  $y_1, \dots, y_n$  in  $X$  such that

$$x_j^*(x_k) = \delta_{j,k} \text{ and } y_j^*(y_k) = \delta_{j,k}, \quad 1 \leq j, k \leq n,$$

where  $\delta_{j,k} = 0$  if  $j \neq k$  and  $\delta_{j,k} = 1$  if  $j = k$ . Consider the operator  $K$  defined on  $X$  by

$$K : X \rightarrow X$$

$$x \mapsto \sum_{i=1}^n x_i^*(x) y_i.$$

It is easy to see that  $K$  is linear operator and  $D(K) = X$ . In fact, for all  $x \in X$ ,

$$\begin{aligned} \|Kx\| &= \left\| \sum_{i=1}^n x_i^*(x) y_i \right\| \\ &\leq \max_{1 \leq i \leq n} \|x_i^*(x) y_i\| \\ &\leq \max_{1 \leq i \leq n} (\|x_i^*\| \|y_i\|) \|x\|. \end{aligned}$$

Moreover,  $R(K)$  is contained in a finite-dimensional subspace of  $X$ . So,  $K$  is a finite rank operator, then  $K$  is completely continuous. Since  $\mathbb{K} = \mathbb{Q}_p$ , from Remark 2.8,  $K$  is a compact operator. We show that for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ , we have

$$(3.10) \quad N(A + CDB - \lambda I) \cap N(K) = \{0\}$$

and

$$(3.11) \quad R(A + CDB - \lambda I) \cap R(K) = \{0\}.$$

Let  $x \in N(A + CDB - \lambda I) \cap N(K)$ , then  $x \in N(A + CDB - \lambda I)$  and  $x \in N(K)$ . If  $x \in N(A + CDB - \lambda I)$ , then

$$x = \sum_{i=1}^n \alpha_i x_i \text{ with } \alpha_1, \dots, \alpha_n \in \mathbb{Q}_p.$$

Then for all  $1 \leq j \leq n$ ,  $x_j^*(x) = \sum_{i=1}^n \alpha_i \delta_{i,j} = \alpha_j$ . On the other hand, if  $x \in N(K)$ , then  $Kx = 0$ , so

$$\sum_{j=1}^n x_j^*(x)y_j = 0.$$

Therefore, we have for all  $1 \leq j \leq n$ ,  $x_j^*(x) = 0$ . Hence  $x = 0$ . Consequently, for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,

$$N(A + CDB - \lambda I) \cap N(K) = \{0\}.$$

Let  $y \in R(A + CDB - \lambda I) \cap R(K)$ , then  $y \in R(A + CDB - \lambda I)$  and  $y \in R(K)$ . Let  $y \in R(K)$ , we have

$$y = \sum_{i=1}^n \alpha_i y_i \text{ with } \alpha_1, \dots, \alpha_n \in \mathbb{Q}_p.$$

Then for all  $1 \leq j \leq n$ ,  $y_j^*(y) = \sum_{i=1}^n \alpha_i \delta_{i,j} = \alpha_j$ . On the other hand, if  $y \in R(A + CDB - \lambda I)$ , hence for all  $1 \leq j \leq n$ ,  $y_j^*(y) = 0$ . Thus  $y = 0$ . Therefore,

$$R(A + CDB - \lambda I) \cap R(K) = \{0\}.$$

On the other hand,  $K$  is a compact operator. By Theorem 2.9, for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,  $A + CDB + K - \lambda I \in \Phi(X)$  and  $ind(A + CDB + K - \lambda I) = 0$ . Thus for all  $D \in \mathcal{L}(X)$  such that  $\|D\| < \varepsilon$ ,

$$(3.12) \quad \alpha(A + CDB + K - \lambda I) = \beta(A + CDB + K - \lambda I).$$

If  $x \in N(A + CDB + K - \lambda I)$ , then  $(A + CDB - \lambda I)x = -Kx$  in  $R(A + CDB - \lambda I) \cap R(K)$ . It follows from (3.11) that  $(A + CDB - \lambda I)x = -Kx = 0$ , hence  $x \in N(A + CDB - \lambda I) \cap N(K)$  and from (3.10),  $x = 0$ . Thus  $\alpha(A + K + CDB - \lambda I) = 0$ , it follows from (3.12) that  $R(A + CDB + K - \lambda I) = X$ . Consequently,  $A + K + CDB - \lambda I$  is invertible and by Definition 3.13,  $\lambda \notin \bigcap_{K \in \mathcal{K}(X)} \sigma_\varepsilon(A + K, B, C)$ .

Let  $\lambda \notin \bigcap_{K \in \mathcal{K}(X)} \sigma_\varepsilon(A + K, B, C)$ , then there is  $K \in \mathcal{K}(X)$  such that  $\lambda \in \rho_\varepsilon(A + K, B, C)$ , from

Definition 3.13, there is  $K \in \mathcal{K}(X)$  such that for all  $D \in \mathcal{L}(X)$  with  $\|D\| < \varepsilon$ , we have

$$A + CDB + K - \lambda I \in \Phi(X)$$

and

$$ind(A + CDB + K - \lambda I) = 0.$$

By Theorem 2.9, for each  $D \in \mathcal{L}(X)$  satisfying  $\|D\| < \varepsilon$ , we have

$$A + CDB - \lambda I \in \Phi(X)$$

and

$$ind(A + CDB - \lambda I) = ind(A + CDB + K - \lambda I) = 0.$$

Consequently,  $\lambda \notin \sigma_{\varepsilon, \varepsilon}(A, B, C)$ . □

We finish with the following example.

**Example 3.23.** Let  $X$  be a free Banach space over  $\mathbb{Q}_p$  such that  $\|X\| \subseteq |\mathbb{Q}_p|$ . Let  $A, B, C \in \mathcal{L}(X)$  be diagonal operators such that  $0 \in \rho(B) \cap \rho(C)$  and for all  $i \in \mathbb{N}$ ,  $Ae_i = a_i e_i$ ,  $Be_i = b_i e_i$  and  $Ce_i = c_i e_i$  with  $(a_i)_{i \in \mathbb{N}}, (b_i)_{i \in \mathbb{N}}, (c_i)_{i \in \mathbb{N}} \subset \mathbb{Q}_p : \sup_{i \in \mathbb{N}} |a_i|_p, \sup_{i \in \mathbb{N}} |b_i|_p, \sup_{i \in \mathbb{N}} |c_i|_p$  are finite. From [6, Proposition 3.55 ],

$$\sigma(A) = \{\lambda \in \mathbb{Q}_p : \inf_{i \in \mathbb{N}} |a_i - \lambda| = 0\} = \overline{\{a_i : i \in \mathbb{N}\}},$$

and for all  $\lambda \in \rho(A)$ , we have

$$\begin{aligned} \|B(A - \lambda I)^{-1}C\| &= \sup_{i \in \mathbb{N}} \frac{\|B(A - \lambda I)^{-1}Ce_i\|}{\|e_i\|} \\ &= \sup_{i \in \mathbb{N}} \left| \frac{b_i c_i}{a_i - \lambda} \right|. \end{aligned}$$

Consequently,

$$\sigma_\varepsilon(A, B, C) = \overline{\{a_i : i \in \mathbb{N}\}} \cup \left\{ \lambda \in \mathbb{Q}_p : \sup_{i \in \mathbb{N}} \left| \frac{b_i c_i}{a_i - \lambda} \right| > \frac{1}{\varepsilon} \right\}.$$

For more examples of non-Archimedean structured pseudospectrum of matrices, we refer the readers to [12].

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